

NOTICE OF PRIVACY PRACTICES

Revised per HIPAA Omnibus Rule Revision Date: 9/23/2013

Original Effective Date: April 14, 2003

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND YOUR RIGHTS. PLEASE REVIEW IT CAREFULLY.

You are receiving health care at Arthritis Associates, PLLC

We are required by law to maintain the privacy of individually identifiable patient health information (this information is “protected health information” and is referred to herein as “PHI”). We are also required to provide patients with a Notice of Privacy Practices regarding PHI. We will only use or disclose your PHI as permitted or required by applicable state law. This Notice applies to your PHI in our possession including the medical records.

Arthritis Associates, PLLC understands that your health information is highly personal, and we are committed to safeguarding your privacy. Please read this Notice of Privacy Practices thoroughly. It describes how Arthritis Associates, PLLC will use and disclose your PHI, and what your rights, under HIPAA, are

This Notice applies to the delivery of health care by Arthritis Associates, PLLC and its medical staff.

Permitted Use or Disclosure

A. Treatment: Arthritis Associates, PLLC will use and disclose your PHI in the provision and coordination of health care to carry out treatment functions.

Arthritis Associates, PLLC will disclose all or any minimally necessary portion of your patient medical record information to your attending physician, consulting physician(s), nurses, pharmacists, technicians, medical students, dieticians, and other health care providers who have a legitimate need for PHI in your care and continued treatment.

Different departments will share medical information about you in order to coordinate specific services, such as lab work, x-rays, infusions and prescriptions.

Arthritis Associates, PLLC also will disclose PHI to people or entities outside Arthritis Associates, PLLC who will be involved in your medical care after you leave Arthritis Associates PLLC such as other care providers who will provide services that are part of your care, to coordinate treatment.

Arthritis Associates, PLLC will use and disclose your PHI to inform you of, or recommend possible treatment options or alternatives that will be of interest to you.

Arthritis Associates, PLLC will use and disclose PHI to contact you as a reminder that you have an appointment for treatment or medical care at Arthritis Associates, PLLC. With respect to a group health plan, Arthritis Associates, PLLC may also disclose PHI to a health plan sponsor.

If you are an inmate of a correctional institution or under the custody of a law enforcement officer, Arthritis Associates, PLLC will disclose your PHI to the correctional institution or law enforcement official.

B. Payment: Arthritis Associates, PLLC will disclose PHI about you for the purposes of determining insurance coverage and eligibility, billing, claims management, medical data processing, stop loss / reinsurance and reimbursement.

The medical information will be disclosed to any insurance company, third party payer, third party administrator, health plan or other health care provider (or their duly authorized representatives) involved in the payment of your medical bill and will include copies or excerpts of your medical records which are necessary for payment of your account. It will also include sharing the necessary information to obtain pre-approval for payment for treatment from your health plan.

The medical information may also be released to independent health care providers who were involved in your treatment (for example, Emergency Room physicians and Radiologists who are not employed by Arthritis Associates, PLLC) or emergency delivery (via ambulance service) to Arthritis Associates, PLLC so that they may seek payment for caring for you.

Arthritis Associates, PLLC will disclose PHI to collection agencies, attorneys and other subcontractors engaged in obtaining payment for care.

C. Health Care Operations: Arthritis Associates, PLLC will use and disclose your PHI during routine health care operations including quality review, utilization review, medical review, internal auditing, accreditation, certification, licensing or credentialing activities of Arthritis Associates, PLLC, and for educational purposes.

For instance, we will need to share your demographic information, diagnosis, treatment plan and health status for population based activities relating to improving health or reducing health care costs, protocol development, case management and care coordination.

Arthritis Associates, PLLC may also use and disclose your PHI to support the sale, transfer, or other corporate restructuring of Arthritis Associates PLLC's assets.

D. Other Uses and Disclosures: PHI may also be used for the following purposes:

Fundraising Activities: With the exception of mental health and substance abuse PHI, Arthritis Associates, PLLC may use some of your PHI for certain fund raising activities. Arthritis Associates, PLLC may contact you to raise funds for Arthritis Associates, PLLC. You have a right to "opt-out" of receiving fundraising communications. Any communication sent to you will let you know how you may opt out of receiving similar communications in the future. The department name of service where you received treatment may be used in fundraising. You may opt out of the use of this department service for fundraising, or you may opt out of all fundraising contacts.

Medical Research: Arthritis Associates, PLLC may disclose your PHI without your authorization to medical researchers who request it for approved medical research projects; however, with very limited exceptions such disclosures must be cleared through a special approval process before any PHI is disclosed to the researchers. Researchers will be required to safeguard the PHI they receive.

Health Promotion Activities: With the exception of mental health and substance abuse PHI, Arthritis Associates, PLLC will use and disclose some of your PHI for certain health promotion activities. For example, your name and address will be used to send you newsletters or general communications. We will also send you information based on your own health concerns. We may send you this information if we have determined that a product or service may help you. The communication will explain how the product or service relates to your well-being and can improve your health.

Permitted Use or Disclosure with an Opportunity for You to Agree or Object

A. Family/Friends: Arthritis Associates, PLLC may disclose PHI about you to a friend or family member who is involved in your medical care. We will also give information to someone who helps you pay for your care. In addition, we will disclose PHI about you to an agency assisting in a disaster relief effort so that your family can be notified about your condition, status and location. You have a right to request that your PHI not be shared with some or all of your family or friends.

B. Promotional Communications: Arthritis Associates, PLLC will not share or sell your information to companies that sell health care products or services for use by those companies to contact you.

Use or Disclosure Requiring Your Authorization

A. Marketing: Arthritis Associates, PLLC will not provide your information to any other person or company for marketing any products or services.

B. Research: With your prior authorization to participate in research, Arthritis Associates, PLLC may use or disclose your information as part of research. For example, if you are part of a research study that includes treatment, we may require that you sign an authorization to allow the researchers to use or disclose your information for this research.

C. Psychotherapy Notes: Arthritis Associates, PLLC must obtain your authorization for any use or disclosure of psychotherapy notes, except as permitted by policy or law. **Other Uses:** Any uses or disclosures that are not for treatment, payment or operations and that are not required for public policy purposes or by law, and other uses and disclosures not described in this Notice will be made only with your written authorization.

D. Revocation: You have the right to revoke an authorization at any time, by putting your request in writing and submitting the request to the Medical Records department.

E. Breach Notification: Arthritis Associates, PLLC will notify you if a breach of your unsecured protected health information occurs, if the information is shown to have been compromised.

Use or Disclosure Permitted by Public Policy or Law without your Authorization

A. Law Enforcement Purposes: Arthritis Associates, PLLC will disclose your PHI for law enforcement purposes as required by law, such as responding to a court order or subpoena, and may disclose information for purposes of identifying a criminal suspect or a missing person, or providing information about a crime victim or possible criminal conduct as part of a criminal investigation.

Required by Law: Arthritis Associates PLLC will disclose PHI about you when required by federal, state or local law to make reports or other disclosures. Arthritis Associates, PLLC also will make disclosures for judicial and administrative proceedings such as lawsuits or other disputes in response to a court order or subpoena. Arthritis Associates, PLLC will disclose your medical information to government agencies when required by law, such as reporting a dog bite to public health. Arthritis Associates, PLLC will report drug diversion and information related to fraudulent prescription activity to law enforcement and regulatory agencies. Specialized government functions will warrant the use and disclosure of your health information. These government functions will include military and veteran’s activities, national security and intelligence activities, and protective services for the

President and others. Arthritis Associates, PLLC will make certain disclosures that are required in order to comply with workers' compensation or similar programs.

B. Coroners, Medical Examiners, Funeral Directors: Arthritis Associates, PLLC will disclose your information to a coroner or medical examiner. For example, this will be necessary to identify a deceased person or to determine a cause of death. We will also disclose your medical information to funeral directors as necessary to carry out their duties.

C. Organ Procurement: Arthritis Associates, PLLC will disclose your information to an organ procurement organization or entity for organ, eye or tissue donation purposes when donation has been authorized or to verify that appropriate organ procurement procedures were followed.

D. Health or Safety: Arthritis Associates, PLLC will use and disclose your information to avert a serious threat to health and safety of a person or the public. Arthritis Associates, PLLC will use and disclose PHI to Public Health Agencies for immunizations, communicable diseases, etc. Arthritis Associates, PLLC will use and disclose PHI for activities related to the quality, safety or effectiveness of FDA-regulated products or activities, including collecting and reporting adverse events, tracking and facilitating product recalls, etc. and post marketing surveillance. You may opt out of the opportunity to receive information about a medical device subject to FDA tracking requirements; i.e. you may decline to disclose, or refuse permission to disclose, your name, address, telephone number and social security number, or other identifying information for the purpose of tracking the FDA device.

Your Health Information Rights

Although Arthritis Associates, PLLC maintains all paper and electronic records concerning your hospitalization and treatment you have the following rights concerning your PHI:

A. Right to Inspect and Copy: You have the right to access your PHI and to inspect and have a paper or electronic copy made of your PHI as long as we maintain it except for: psychotherapy notes (those notes kept in a personal file by a therapist or physician and not part of the formal medical record), information that may be used in anticipation of, or that will be used in a civil, criminal or administrative action or proceeding, and where prohibited or protected by law. Arthritis Associates, PLLC will deny your request for access to your PHI without giving you an opportunity to review that decision if:

- ◆ You don't have the right to inspect the information; or it is otherwise prohibited or protected by law;
- ◆ You are an inmate at a correctional institution and obtaining a copy of the information would risk the health, safety, security, custody or rehabilitation of you or other inmates;
- ◆ The disclosure of the information would threaten the safety of any officer, employee or other person at the correctional institution or who is responsible for transporting you;
- ◆ You are involved in a clinical research project and Arthritis Associates, PLLC created or obtained the PHI during that research. Your access to the information will be temporarily suspended for as long as the research is in progress;
- ◆ Arthritis Associates, PLLC obtained the information that you seek access to from someone other than the health care provider under a promise of confidentiality and your access request is likely to reveal the source of the information; or

- ◆ Under other limited circumstances. In these instances, however, Arthritis Associates, PLLC will allow the review of its decision by a health care professional that Arthritis Associates, PLLC has chosen. This person will not have been involved in the original decision to deny your request.

Arthritis Associates, PLLC will charge a reasonable copying charge. You must make your requests to access and copy your PHI in writing to Arthritis Associates, PLLC, Attention: Medical Records. Arthritis Associates PLLC will respond to your request within 30 days of its receipt. If we cannot, we will notify you in writing to explain the delay and the date by which we will act on your request. In any event, we will act on your request within 60 days of its receipt.

B. Right to Amend: You have the right to amend your PHI for as long as Arthritis Associates, PLLC maintains it. However, we will deny your request for amendment if:

- ◆ Arthritis Associates, PLLC did not create the information;
- ◆ The information is not part of the designated record set;
- ◆ The information would not be available for your inspection (due to its condition or nature); or
- ◆ The information is accurate and complete.

If Arthritis Associates, PLLC denies your request for changes in your PHI, we will notify you in writing with the reason for the denial. We will also inform you of your right to submit a written statement disagreeing with the denial. You may ask that we include your request for amendment and the denial any time that Arthritis Associates, PLLC discloses the information that you wanted changed. Arthritis Associates, PLLC may prepare a rebuttal to your statement of disagreement and will provide you with a copy of that rebuttal.

You must make your request for amendment of your PHI in writing to Arthritis Associates, PLLC, including your reason to support the requested amendment. Arthritis Associates, PLLC will respond to your request within 60 days of its receipt. If we cannot, we will notify you in writing to explain the delay and the date by which we will act on your request. In any event, we will act on your request within 90 days of its receipt.

C. Right to an Accounting: You have a right to receive an accounting of the disclosures of your PHI that Arthritis Associates, PLLC made, except for the following disclosures:

- ◆ To carry out treatment, payment or health care operations;
- ◆ To you;
- ◆ For national security or intelligence purposes;
- ◆ To correctional institutions or law enforcement officials in custodial situations; or
- ◆ That occurred prior to April 14, 2003.

For each disclosure, you will receive: the date of the disclosure, the name of the receiving organization and address if known, a brief description of the PHI disclosed and a brief statement of the purpose of the disclosure or a copy of the written request for the information, if there was one.

You must make your request for an accounting of disclosures of your PHI in writing to Arthritis Associates, PLLC's Medical Records Department. You must include the time period of the accounting, which may not be longer than 6 years. We will respond to your request within 60 days from its receipt. If we cannot, we will notify you in writing to explain

the delay and the date by which we will act on your request. In any event, we will act on your request within 90 days of its receipt.

D. Right to Request Restrictions: You have the right to request restrictions on certain uses and disclosures of your PHI:

- ◆ To a health plan with respect to healthcare for which you have paid out of pocket in full prior to service being initiated. The request must be in writing.
- ◆ Limited information in the facility directory.

E. Right to Confidential Communications: You have the right to receive confidential communications of your PHI by alternative means or at alternative locations. For example, you may request that we only contact you at work or by mail.

F. Right to Receive a Copy of this Notice: You have the right to receive a paper copy of this Notice of Privacy Practices, upon request.

Complaints

If you believe your privacy rights have been violated, you may file a complaint with Arthritis Associates, PLLC and /or with the Secretary of the Department of Health and Human Services, Office of Civil Rights. For more information, or to voice a privacy complaint for an incident at Arthritis Associates, PLLC, **please contact Arthritis Associates, PLLC's Privacy Officer**, at:

1035 Executive Drive
Hixson, TN 34343
(423)826-0800

ARTHRITIS ASSOCIATES, PLLC assures you that there will be no retaliation for filing a complaint.

Sharing and joint use of your Health Information

In the course of providing care to you and in furtherance of Arthritis Associates PLLC's mission to improve the health of the community, Arthritis Associates PLLC will share your PHI with other organizations as described below who have agreed to abide by the terms described below:

A. Medical Staff: Medical staff and Arthritis Associates, PLLC participate together in an organized health care arrangement to deliver health care to you. Arthritis Associates, PLLC and its staff have agreed to abide by the terms of this Notice with respect to PHI created or received as part of delivery of health care services to you at Arthritis Associates, PLLC. Physicians and allied health care providers are members of Arthritis Associates, PLLC medical staff and will have access to and use your PHI for treatment, payment and health care operations purposes related to your care within Arthritis Associates, PLLC. Arthritis Associates, PLLC will disclose your PHI to the medical staff for payment, treatment and health care operations.

B. Business Associates: Arthritis Associates, PLLC will use and disclose your PHI to business associates contracted to perform business functions on its behalf including Arthritis Associates, PLLC, who performs certain business functions for Arthritis Associates

Whenever an arrangement between Arthritis Associates, PLLC and another company involves the use or disclosure of your PHI, that business associate is required by HIPAA law to keep your information confidential.

- C. Arthritis Associates, PLLC participates in an organized health care arrangement for utilization review and quality assessment activities involving PHI. Arthritis Associates, PLLC abides by the terms of this Notice with respect to PHI created or received as part of utilization review and quality assessment activities of Arthritis Associates, PLLC and its members.
- D. Changes to this Notice: Arthritis Associates, PLLC will abide by the terms of the Notice currently in effect. Arthritis Associates, PLLC reserves the right to change the terms of its Notice and to make the new Notice provisions effective for all PHI that it maintains. Arthritis Associates, PLLC will provide you with the revised Notice at your first visit following the revision of the Notice.

**The most current version NPP is posted in Arthritis Associates PLLC Lobby.
Copies are available upon request from our office at Arthritis Associates, PLLC, 1035
Executive Drive, Hixson, Tennessee, 37343 Electronic copies are available upon request.**